Docket No. 1747/53437-A-PCT-US/JPW/BJAAF
JPWIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Philip O. Livingston et al.
Serial No. : 09/534,711 ✓ Examiner: C. Yaen
Filed : March 24, 2000 Group Art Unit: 1642
For : FUCOSYL GM1-KLH CONJUGATE VACCINE AGAINST SMALL CELL
LUNG CANCER

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Date: July 6, 2005

Sir:

Transmitted herewith is an amendment to the above-identified application.

X Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been previously established.

 A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.

X No additional fee is required.

The filing fee is calculated as follows:

	Number after Amend-ment	Highest Number Previously Paid For ¹	Number of Extra Claims Presented	RATE		FEE	
				Small Entity	Other Entity	Small Entity	Other Entity
Total Claims	6 -	* 20 =	*** 0 X	\$25	\$50	= 0	
Indepen-dent Claims	3 -	** 3 =	*** 0 X	\$100	\$200	= 0	
Multiple Dependent Claim(s) Presented For First Time Yes <u> </u> No <u>X</u>				\$180	\$360	= 0	
				TOTAL ADDITIONAL FEE \$ 0			

¹ The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims originally filed.

* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

** If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

*** If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0".

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Amendment Transmittal Letter

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The following are also enclosed:

☒ One additional copy of this Amendment Transmittal Letter

☒ Return Receipt Postcard

☐ An Information Disclosure Statement, including Form PTO-1449

(Copies of citations included: Yes ☐ No ☐

and a fee of \$ ☐ included)

☐ A Petition for an Extension of Time, including a fee of
\$ ☐ for a Petition for ☐ Month(s) Extension of Time

☐ Other (identify): ☐

THE TOTAL FEE DUE IS \$ 0.

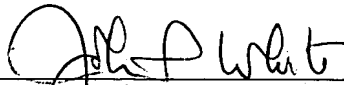
☐ A check in the amount of \$ ☐ is enclosed.

☐ Please charge Deposit Account No. ☐ in the amount of
\$ ☐.

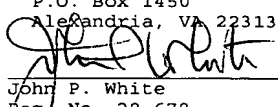
☒ The Commissioner is hereby authorized to charge any additional fees
required or credit any overpayment to Deposit Account No. 03-3125
as follows:

☒ Fees under 37 C.F.R. §1.16 for the presentation of extra claims
☐ Patent application processing fees under 37 C.F.R. §1.17

Respectfully submitted,



John P. White
Registration No. 28,678
Attorney for Applicant(s)
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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.	
 John P. White Reg. No. 28,678	<u>7/6/05</u> Date



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1185 Avenue of the Americas
New York, NY 10036
July 6, 2005

Commissioner for Patents
P.O. Box 1450
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Sir:

AMENDMENT IN RESPONSE TO APRIL 6, 2005 FINAL OFFICE
ACTION

This Amendment is submitted in response to an April 6, 2005 Final Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the April 6, 2005 Final Office Action is due July 6, 2005. Accordingly, this Amendment is being timely filed.

Please amend the subject application as follows: